

Stuff happens – a board risk management development workshop

Your requirement

A board workshop enabling contribution to the development of the IPPF risk management framework.

Our outline approach

The workshop will last approximately 2 hours and will consist of 4 components:

- 1. risk management energiser:** the purpose being to position the session in the context of the board responsibilities with regards to the management of risk.
- 2. review of the strategic risk questionnaire responses:** what are the common themes emerging? How are these reflected in the current risk register? What is missing?
- 3. IPPF risk management challenges and how the establishment 4risk will help address these:** including identification, assessment, escalation, monitoring, reporting, oversight and decision making. We shall seek to make use of 2 examples that would be appropriate to IPPF so as to bring this element to life and demonstrate use of 4risk.
- 4. IPPF risk management framework next steps:** key actions to be taken.

Pre-workshop board questionnaire

To assist with the above and to provide a work in progress, we shall ask the following questions of the board via use of an anonymous questionnaire.

- 1. Given the IPPF strategic objectives, what would be the worst thing that IPPF could experience tomorrow or in the next 12 months? i.e. activities or events that you would want to potentially avoid e.g. a significant safeguarding breach etc.**
- 2. Given the IPPF strategic objectives, what are the greatest challenges that IPPF faces in the next 12 to 24 months? i.e. activities or events that may occur with which you would want to engage or tackle in some way e.g. achieving global digital transformation of services for stakeholders etc.**
- 3. Given the IPPF strategic objectives, what are the greatest opportunities that IPPF has in the next 12 to 36 months? i.e. activities or events that you would want to capitalize on or seek out e.g. Commercial growth through partnering and collaboration, alternative funding sources etc.**
- 4. Given the IPPF strategic objectives, what do you see as the emerging events or threats that could impact on IPPF either negatively or positively and that you believe should be watched? i.e. those items still morphing or on the horizon e.g. extremism, environmental / climate change, Cov-Sars 21 etc**

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We shall socialise the responses, by theme, by question, and use this information to explore further with the board what might be the IPPF strategic risks as part of component 2 of the workshop.

A strategic risk might be defined as:

- Fundamental impact on delivery of one, some or all of IPPF strategic objectives
- Material effect – loss, lost opportunity
- Important to the IPPF Board.

We shall also ask a 5th question:

What changes would you make to the current risk management framework and approach to help the Board in the better fulfilment of its risk management responsibilities, be this oversight or decision making?

Responses to the above will be used to inform component 3 and 4 of the workshop. RSM will also make suggestions based on experiences as appropriate.

Post workshop – RSM write up of key outcomes and share with IPPF.

Deliverables

- learning and development opportunity for the board
- identification of possible strategic risks
- socialisation of 4risk
- agreement of next steps in the risk management framework development, captured in post workshop actions.

The workshop will be facilitated by **Matt Humphrey**, Risk Advisory Partner.

Matt is commercial head of the firm's Insight4GRC service group for RSM UK (www.insight4grc.com). He has over 25 years of risk advisory and consulting experience, having worked with Grant Thornton, the Audit Commission, as well as being a Head of Internal Audit within the public sector before joining RSM in 2004. Matt is an ICAEW associate and works across all sectors with all types of business.

In 2002 Matt architected and mobilised what was the largest local government Internal Audit Consortium. This was held up by the then ODPM strategic partnering taskforce as a prime example of good practice in alternative service delivery.

Matt was instrumental in the development of 4Risk a key module within the **RSM Insight4GRC** software suite. Insight4GRC has in excess of 250 clients, 95,000 end users and is now in its 12th anniversary.

Matt was author of a number of the RSM Assurance Toolkits including health, further education, housing, academy and independent school sectors. He wrote the Polish Government Risk Management Guide as part of a European Union capacity building initiative. He has had numerous articles published that challenge thinking on risk management, controls and assurance, including “the Risk Test” in Managing Partner magazine and “boredom in the boardroom” which has been published many times.

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Over the last few years Matt has led and participated in the delivery of the risk management module of the Ambition Academy Leadership programme working with Sir David Carter. Matt is also an advisory member of the Peterborough Diocese Education Trust Governance Committee. Prior to this Matt was a school Chair of Governors, contributing to the School achieving an OFSTED Outstanding judgement, as well as guiding the School through a “black swan” event and the transition to Academy.

What some of our clients say about our services:

“RSM provided risk management support to my programme. Their depth of experience allowed them to offer insights into the risks facing the programme, and to come up with realistic plans to mitigate the risks. Their support went beyond mere “process knowledge”: they took the time to understand the environment and to offer bespoke advice.”

Kate Davies OBE, Head of Public Health, Armed Forces and their Families and Health & Justice Commissioning, NHS England

“I value the relationship I have with the RSM team as they continue to demonstrate excellence and value added service. The team have proved to be highly responsive and in tune with our needs and expectations. The depth and knowledge of the risk consulting team in their understanding of our business has provided a greater confidence. In conjunction with the use of the Insight4GRC software, the RSM team have helped embed risk management across Guernsey Electricity”.

Sally-Ann David, Executive Director for and on behalf of Guernsey Electricity Ltd

“Managing the risks associated with collaboration amongst agencies in Leicestershire and Rutland involved in tackling crime was going to be key to the successful execution of the role of Police and Crime Commissioner. We engaged RSM to assist us in a number of areas including the identification of partners with whom we should look to work with in the future, facilitate meetings with partner agencies to explore opportunities and risk that may exist, play a key part on the Leicestershire strategic transition board as well as the leading the development of a collaborative governance and risk management plan. Since then, RSM have continued to work with us, providing expertise and capacity to assist with the achievement of outcomes envisaged. The RSM team had sufficient flexibility to meet our needs, with senior input all the way, working jointly with us, very much part of one team”.

Paul Stock, (then) Chief Executive, Office of the Police & Crime Commissioner for Leicestershire, now Deputy Director Financial Management, HM Courts and Tribunal Services.

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